

(19)



Europäisches Patentamt  
European Patent Office  
Office européen des brevets



(11)

**EP 1 258 745 A2**

(12)

**EUROPEAN PATENT APPLICATION**

(43) Date of publication:  
20.11.2002 Bulletin 2002/47

(51) Int Cl.7: **G02B 5/28**

(21) Application number: **02252656.0**

(22) Date of filing: **15.04.2002**

(84) Designated Contracting States:  
**AT BE CH CY DE DK ES FI FR GB GR IE IT LI LU**  
**MC NL PT SE TR**  
Designated Extension States:  
**AL LT LV MK RO SI**

(72) Inventor: **Someno, Yoshihiro,**  
**c/o Alps Electric Co., Ltd.**  
**Ota-ku, Tokyo (JP)**

(30) Priority: **09.05.2001 JP 2001138388**

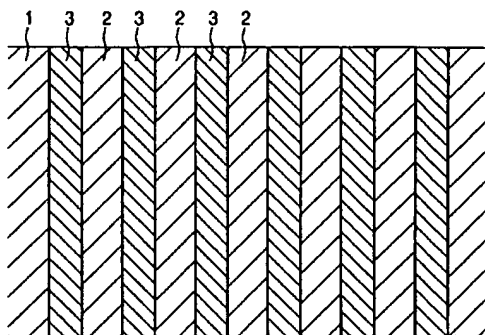
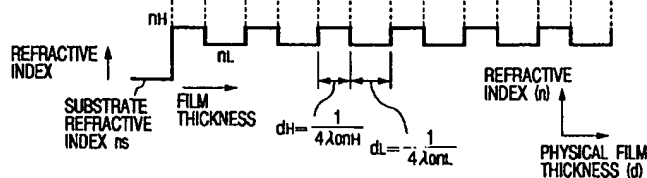
(74) Representative: **Kensett, John Hinton**  
**Saunders & Dolleymore,**  
**9 Rickmansworth Road**  
**Watford, Hertfordshire WD18 0JU (GB)**

(71) Applicant: **ALPS ELECTRIC CO., LTD.**  
**Ota-ku Tokyo (JP)**

**(54) Optical filter**

(57) By stacking films (2, 3) whose refractive index differs, there is formed an optical filter which reflects light in a predetermined wavelength band for separation. The films whose refractive index differs are stacked by varying the film thickness. As a result, there is formed a filter comprising a low refractive index region having a physical thickness of  $d_L$  and an equivalent refractive in-

dex of  $n_L^*$  and a high refractive index region having the physical thickness of  $d_H$  and the equivalent refractive index of  $n_H^*$  alternately stacked. The equivalent optical film thicknesses are set to  $1/(4 \cdot \lambda_0)$  or  $1/(2 \cdot \lambda_0)$ , whereby it is possible to reflect light having a narrow band of wavelengths with a wavelength  $\lambda_0$  being centered for separation.

**FIG. 1A****FIG. 1B**

## Description

## BACKGROUND OF THE INVENTION

## 1. Detailed Description of the Invention

[0001] The present invention relates to an optical filter comprising plural layers of optical films formed of optical materials stacked, and more particularly to an optical filter which does not transmit but reflects light in a predetermined band.

## 2. Description of the Prior Art

[0002] As an optical element, there is a reflection type element which reflects light in a predetermined band width in units of several tens nm with a reference wavelength being centered and transmits light having any other wavelengths than the above-described band. When such a reflection type optical element is used, even if the light in the wavelength band is any polarized light, it is possible to separate this light from light in another wavelength band.

[0003] As an optical element of the above-described reflection type, there has conventionally existed fiber grating. In this fiber grating, on the surface of a clad portion of the optical fiber or the clad portion and a core portion, there is formed a flaw-shaped groove extending circumferentially at regular intervals in the axial direction. A plurality of the grooves are formed at intervals which satisfy  $1/(4 \cdot n \cdot \lambda_0)$  (where  $n$  is a refractive index of the core portion) relative of the center wavelength  $\lambda_0$  of the band of light to be reflected (separated).

[0004] Of light incident to the fiber grating, light in a band having a predetermined width including the center wavelength  $\lambda_0$  resonates at the grooves in the axial direction, and this resonance is repeated, whereby the light is reflected in the direction of incidence to be returned, and the light having any other wavelengths than the band is adapted to travel within the fiber grating.

[0005] The fiber grating, however, is difficult to manufacture, and is expensive because on the surface of the optical fiber, there must be formed grooves with uniform depth at as exceedingly fine intervals as  $1/(4 \cdot n \cdot \lambda_0)$ .

[0006] Also, in the fiber grating, so-called ripple in which the transmission factor also attenuates on light having any other wavelengths than the band to be reflected is prone to be produced. Also, there also remains a problem that in not only light in a band including the center wavelength  $\lambda_0$ , but also light having wavelengths of higher order equal to the integer multiple of the center wavelength  $\lambda_0$ , reflection occurs.

## SUMMARY OF THE INVENTION

[0007] The present invention has been achieved in order to achieve the above-described conventional problems, and is aimed to provide an optical filter capable of reflecting and separating light in a predetermined wavelength band through the use of thin film structure, improving its characteristic properties and having also a degree of freedom in designing.

[0008] According to a first aspect of the present invention, there is provided an optical filter comprising low refractive index films formed of optical materials and high refractive index films likewise formed of the optical materials alternately stacked, wherein

[0009] each of the low refractive index films has a refractive index of  $n_L$  and a physical film thickness of  $d_L$  and each of the high refractive index films has a refractive index of  $n_H$  ( $n_L < n_H$ ) and a physical film thickness of  $d_H$ ,

[0010] an optical film thickness of the low refractive index film is  $n_L \cdot d_L = 1/(2 \cdot m \cdot \lambda_0)$ , and an optical film thickness of the high refractive index film is  $n_H \cdot d_H = 1/(2 \cdot m \cdot \lambda_0)$  (where  $m$  is an arbitrary constant), and of incident light, light in a band of a predetermined range including a wavelength  $\lambda_0$  does not transmit, but is reflected.

[0011] According to a second aspect of the present invention, there is provided an optical filter comprising low refractive index films formed of optical materials and high refractive index films likewise formed of the optical materials alternately stacked, wherein

[0012] a physical film thickness  $d_L$  of each of the low refractive index films is constant, a physical film thickness  $d_H$  of each of the high refractive index films is constant, and the low refractive index film and a high refractive index film are formed such that the refractive index is gradually changed toward a direction of the film lamination,

[0013] one or more layers of low refractive index film having the lowest refractive index have a refractive index of  $n_L$  and an optical film thickness of  $n_L \cdot d_L = 1/(2 \cdot m \cdot \lambda_0)$ , and one or more layers of high refractive index film having the highest refractive index have a refractive index of  $n_H$  ( $n_L < n_H$ ) and an optical film thickness of  $n_H \cdot d_H = 1/(2 \cdot m \cdot \lambda_0)$  (where "m" is an arbitrary constant), and of the incident light, light in a band of a predetermined range including a wavelength  $\lambda_0$  does not transmit but is reflected.

[0014] According to a third aspect of the present invention, there is provided an optical filter comprising low refractive index regions having a physical thickness of  $d_L$  and a high refractive index region having a physical thickness of  $d_H$  alternately stacked, wherein

the low refractive index region and the high refractive index region are formed of a layered product ( $n_x$  and  $dx$  are both variables) of films having a refractive index of  $n_x$  and a physical film thickness of  $dx$ , and an optical film thickness of the low refractive index region is equivalently  $n_L^* \cdot d_L = \Sigma(n_x \cdot dx)$  while an optical film thickness of the high refractive index region is equivalently  $n_H^* \cdot d_H = \Sigma(n_x \cdot dx)$  ( $n_L^*$  and  $n_H^*$  are equivalent refractive indices and  $n_L^* < n_H^*$ ),

the optical film thickness of the low refractive index region is  $n_L^* \cdot d_L = 1/(2 \cdot m \cdot \lambda_0)$ , and the optical film thickness of the high refractive index region is  $n_H^* \cdot d_H = 1/(2 \cdot m \cdot \lambda_0)$  (where,  $m$  is an arbitrary constant), of the incident light, light in a band of a predetermined range including a wavelength  $\lambda_0$  does not transmit, but is reflected.

[0015] According to a fourth aspect of the present invention, there is provided an optical filter comprising a low refractive index region having a physical thickness of  $d_L$  and a high refractive index region having a physical thickness of  $d_H$  alternately stacked, wherein

the low refractive index region and the high refractive index region are formed of a layered product ( $n_x$  and  $dx$  are both variables) of films having a refractive index of  $n_x$  and a physical film thickness of  $dx$ , and an optical film thickness of the low refractive index region is equivalently  $n_L^* \cdot d_L = \Sigma(n_x \cdot dx)$  while an optical film thickness of the high refractive index region is equivalently  $n_H^* \cdot d_H = \Sigma(n_x \cdot dx)$  ( $n_L^*$  and  $n_H^*$  are equivalent refractive indices and  $n_L^* < n_H^*$ ),

the physical thickness  $d_L$  of each of the low refractive index regions is constant, the physical thickness  $d_H$  of each of the high refractive index regions is constant, and the low refractive index region and the high refractive index region are formed such that the equivalent refractive index  $n_L^*$  or  $n_H^*$  gradually varies toward a direction of the film lamination,

the low refractive index region at one or more places having the lowest equivalent refractive index is equivalently  $n_L^* \cdot d_L = 1/(2 \cdot m \cdot \lambda_0)$  in optical film thickness, and one or more high refractive index regions having the highest equivalent refractive index are equivalently  $n_H^* \cdot d_H = 1/(2 \cdot m \cdot \lambda_0)$  (where  $m$  is an arbitrary constant) in optical film thickness, and

of the incident light, light in a band of a predetermined range including a wavelength  $\lambda_0$  does not transmit but is reflected.

[0016] For example, the low refractive index region and the high refractive index region have optical films having the same refractive index, and the physical film thickness of the optical film varies for each region, or the low refractive index region and the high refractive index region have an optical film respectively, whose physical film thickness is constant in each region, and whose refractive index gradually varies for each region.

[0017] Also, inside the low refractive index region and inside the high refractive index region, the refractive index preferably varies at least in two stages.

[0018] Also, combination of materials and/or a compounding ratio thereof are changed, whereby it is possible to make the refractive index of each optical film different from one another.

[0019] An embodiment of the present invention will now be described, by way of example only, with reference to the accompanying drawings, in which:

FIG. 1A is a cross-sectional view showing an optical filter according to a basic embodiment of the present invention, and FIG. 1B is a chart showing relationship between a physical film thickness and a refractive index of the optical filter;

FIG. 2 is a chart showing relationship between the physical thickness and the refractive index indicating a further preferable configuration;

FIG. 3 is a characteristic chart of the optical filter shown in FIG. 1;

FIG. 4 is an explanatory view illustrating evaluation reference of Table 1;

FIGS. 5A and 5B are charts showing relationship between the physical film thickness and the refractive index of an optical filter according to another embodiment of the present invention, and FIG. 5C is a chart showing relationship between the equivalent refractive index and the physical film thickness;

FIGS. 6A to 6C are charts showing relationship between the physical film thickness and the refractive index of an optical filter according to further preferable another embodiment of the present invention, and FIG. 6D is a chart showing relationship between the equivalent refractive index and the physical film thickness;

FIG. 7A is a chart showing relationship between the physical film thickness and the refractive index of an optical filter according to further preferable another embodiment of the present invention, and FIG. 7B is a chart showing variations in the equivalent refractive index;

FIG. 8 is a chart showing characteristic of the optical filter according to a preferable embodiment of the present invention;

FIG. 9 is a chart showing characteristic of the optical filter according to a further preferable embodiment of the present invention;

FIG. 10 is a chart showing the characteristic when a band-pass filter is constituted of an optical filter according to an embodiment of the present invention; and

FIG. 11 is a chart showing a logarithmic characteristic when a band-pass filter is constituted of an optical filter according to an embodiment of the present invention.

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

[0020] FIG. 1A is a cross-sectional view showing basic structure of an optical filter according to an embodiment of the present invention, and FIG. 1B is a chart showing relationship between the refractive index of each optical film and the physical film thickness of the optical filter.

[0021] The optical filter shown in FIG. 1A has low refractive index films 2 and high refractive index films 3 alternately stacked on the surface of a transparent substrate 1 having a substrate refractive index  $n_s$ . The both films are formed by a technique such as the sputtering method, the vapor deposition method and the CVD method.

[0022] The physical film thickness of the low refractive index film 2 is all  $d_L$  and the physical film thickness of the high refractive index film 3 is all  $d_H$  (where  $d_L \neq d_H$ ). In the case where the refractive index of the optical materials forming the low refractive index film 2 is assumed to be  $n_L$ , when the center wavelength of light in a band which will be reflected is assumed to be  $\lambda_0$ , the physical film thickness of the low refractive index film 2 is  $d_L = 1/(2 \cdot m \cdot \lambda_0 \cdot n_L)$ . Also, when the refractive index of the optical materials forming the high refractive index film 3 is assumed to be  $n_H$ , its physical film thickness is  $d_H = 1/(2 \cdot m \cdot \lambda_0 \cdot n_H)$ . However, the above-described "m" in both films is an arbitrary constant.

[0023] Therefore, the optical film thickness of the low refractive index film 2 is  $n_L \cdot d_L = 1/(2 \cdot m \cdot \lambda_0)$ , and the optical film thickness of the high refractive index film 3 is  $n_H \cdot d_H = 1/(2 \cdot m \cdot \lambda_0)$ .

[0024] In the example of FIG. 1, the above-described "m" is an integer of 1 or more, and  $m = 2$ , and the physical film thickness of the low refractive index film 2 is  $d_L = 1/(4 \cdot \lambda_0 \cdot n_L)$  while the physical film thickness of the high refractive index film 3 is  $d_H = 1/(4 \cdot \lambda_0 \cdot n_H)$ . Also, if  $m = 1$ , the physical film thickness of the low refractive index film 2 is  $d_L = 1/(2 \cdot \lambda_0 \cdot n_L)$  while the physical film thickness of the high refractive index film 3 is  $d_H = 1/(2 \cdot \lambda_0 \cdot n_H)$ .

[0025] In the optical filter shown in FIGS. 1A and 1B, as shown in FIG. 3, when light is incident, light in a predetermined wavelength band with the center wavelength  $\lambda_0$  being centered does not transmit but is reflected, and light of any other wavelengths than the band transmits. This optical filter has a characteristic property that the light in the above-described wavelength band is reflected even if it is of any polarized light component.

[0026] In this case, when the half-amplitude level of the wavelength band of reflecting light is assumed to be  $\Delta\lambda$  in FIGS. 3 and 4,  $\Delta\lambda/\lambda_0$  is expressed by the following Numerical Formula 1.

(Numerical Formula 1)

[0027]

$$\frac{\Delta\lambda}{\lambda_0} = \frac{4}{M \cdot \pi} \cdot \left( \frac{n_L}{n_H} \right)^x \cdot \frac{(n_H - n_L)}{\left( n_H - n_L + \frac{n_L}{M} \right)}$$

[0028] In the above-described Numerical Formula 1, x designates a number of laminated layers of the low refractive index film 2 and the high refractive index film 3 respectively, and M designates an arbitrary integer. From the Numerical Formula 1, it can be seen that the smaller the difference in refractive index ( $n_H - n_L$ ), and the more the number of laminated layers of the film, the narrower can be the wavelength band (half-amplitude level ( $\Delta\lambda$ ) of reflecting light.

[0029] Next, with the substrate refractive index of the transparent substrate 1 as  $n_s = 1.50$  and the low refractive index as  $n_L = 1.6$ , the optical filter shown in FIGS. 1A and 1B has been designed. The difference in refractive index ( $n_H - n_L$ ), the number of laminated layers of the film (x), the half-amplitude level ( $\Delta\lambda$ ) of the wavelength band of reflecting light, the maximum reflective index and cut-off characteristic at that time are shown on the following Table 1. In this

respect, the half-amplitude level ( $\Delta\lambda$ ), the maximum refractive index and cut-off characteristic are shown in FIG. 4. The above-described cut-off characteristic is ( $\delta T/\delta\lambda$ ) in FIG. 4, and the larger this numerical value, the steep reflection band including the center wavelength  $\lambda_0$  can be obtained.

(Table 1)

$n_H - n_L$	Total number of layers (x)	Band half-amplitude level ( $\Delta\lambda$ ) (nm)	Maximum reflection factor (dB)	Cut-off characteristic (dB/nm)
0.001	16,000	0.6	30	
	8,000	0.8	10	
	4,000		2.5	
0.01	1,800	6	40	
	900	6	20	
	400	6	3	
0.1	400	52	80	
	200	56	40	
	100	68	15	
0.2	200	106	90	27
	100	112	40	3
	50	134	10	
0.4	200	218	140	56
	100	220	90	7
0.6	140	308	140	33
	100	312	130	14

[0030] If the refractive index difference ( $n_H - n_L$ ) is made smaller and the number of laminated layers x of optical films is increased as shown on the Table 1, the half-amplitude level of the reflective band can be narrowed, and if the number of laminated layers X is increased, the maximum reflection factor can be raised, and the cut-off characteristic can be improved.

[0031] For example, when the refractive index difference ( $n_H - n_L$ ) is set to 0.001, the half-amplitude level ( $\Delta\lambda$ ) of the wavelength band of reflecting light can be made narrower than 1 nm, and when the refractive index difference ( $n_H - n_L$ ) is set to 0.01, the half-amplitude level ( $\Delta\lambda$ ) of the wavelength band of reflecting light can be expressed in units of nm. Further, when the refractive index difference ( $n_H - n_L$ ) is set to 0.1, it is possible to set the half-amplitude level ( $\Delta\lambda$ ) to a value on the order of several tens nm. When the refractive index difference ( $n_H - n_L$ ) exceeds 0.2, however, the half-amplitude level ( $\Delta\lambda$ ) widens and the cut-off characteristic ( $\delta T/\delta\lambda$ ) is also gradually deteriorated. Therefore, this will be able to be given an excellent characteristic as a band reflection type optical filter having the structure of FIGS. 1A and 1B from Table 1 if the refractive index difference ( $n_H - n_L$ ) is made under 0.2, preferably 0.1 or less.

[0032] However, when an attempt is made to manufacture a narrow-band reflection type optical filter having the half-amplitude level of the reflective band being under 1 nm from the Table 1, it is necessary to make the refractive index difference ( $n_H - n_L$ ) as exceedingly minute as 0.001, and the number of laminated layers (X) of the film also becomes as exceedingly enormous an amount as 8,000 to 16,000.

[0033] From the foregoing, when there is formed a narrow-band reflection type optical filter having the structure shown in FIGS. 1A and 1B, the refractive index difference ( $n_H - n_L$ ) is made to be 0.01 and over to 0.2 excl., preferably 0.01 and over to 0.1 incl., and the number of laminated layers of the film is preferably made to be 100 and over to 1800 incl. It is preferably under 1000 in terms of ease of manufacture.

[0034] Next, Table 2 shows relationship between the high refractive index  $n_H$  and the band half-amplitude level ( $\Delta\lambda$ ) when design has been made with the substrate refractive index  $n_s$  as 1.507, the refractive index difference ( $n_H - n_L$ ) as 0.001 and the number of laminated layers (X) of the film as 16,000. As can be seen from Table 2, when an attempt is made to set the refractive index difference ( $n_H - n_L$ ) to 0.001 and to further reduce the half-amplitude level, it is necessary to set the high refractive index  $n_H$  to a high value, and therefore, it is necessary to set both  $n_H$  and  $n_L$  such that both are high values and the difference between them is minute.

(Table 2)

Total number of layers (x)	$n_s$	$n_H - n_L$	$n_H$	Band half-amplitude level ( $\Delta\lambda$ ) (nm)
16,000	1,507	0.001	1.6	0.8
			1.9	0.7
			2.2	0.6
			2.5	0.4

[0035] Next, in the optical filter shown in FIGS. 1A and 1B, there is produced ripple whose transmission factor fluctuates in convergent series in light having any other wavelengths than the reflective band as shown in FIG. 3. This ripple can be eliminated by having the laminated structure shown in FIG. 2.

[0036] The optical filter shown in FIG. 2 comprises one or more layers of low refractive index films 2 having the optical film thickness of  $n_L \cdot d_L = 1/(2 \cdot m \cdot \lambda_0)$ , and one or more layers of high refractive index films 3 having the optical film thickness of  $n_H \cdot d_H = 1/(2 \cdot m \cdot \lambda_0)$ , which are alternately stacked in the central portion (Pmax and Pmin portions) of the film in the direction of film lamination. It is constructed such that the refractive index gradually varies in stages before and after in the direction of lamination.

[0037] More specifically, the low refractive index film 2 all has the same physical film thickness of  $d_L$ ; a predetermined number of the low refractive index films 2 at the central portion have the optical film thickness of  $n_L \cdot d_L = 1/(2 \cdot m \cdot \lambda_0)$ ; and before and after it, the refractive index becomes gradually higher as indicated by  $n_L'$ ,  $n_L''$ , ..., from the central portion toward the end portion of the layered product. Also, the high refractive index film 3 all has the physical film thickness of  $d_H$ ; a predetermined number of the high refractive index films 3 at the central portion have the optical film thickness of  $n_H \cdot d_H = 1/(2 \cdot m \cdot \lambda_0)$ ; and before and after it, the refractive index becomes gradually lower as indicated by  $n_H'$ ,  $n_H''$ , ..., from the central portion of the laminated layers forward and backward in the direction of lamination.

[0038] As described above, in FIG. 2, the high refractive index film 3 is constant in physical film thickness  $d_H$ , the refractive index  $n_H$  becomes gradually larger toward the direction of lamination of the film, and becomes gradually smaller after a peak Pmax is passed. Also, the low refractive index film 2 is constant in physical film thickness  $d_L$ , the refractive index  $n_L$  becomes gradually smaller toward the direction of lamination of the film in such a manner that it and the above-described change become vertically symmetrical, and becomes gradually larger after a peak Pmin is passed. Thus, the films of the peaks Pmax and Pmin are set in the same manner as in FIG. 1B to be  $n_L \cdot d_L = 1/(2 \cdot m \cdot \lambda_0)$  and  $n_H \cdot d_H = 1/(2 \cdot m \cdot \lambda_0)$ .

[0039] Before and after the layered product, the refractive index is caused to be gradually changed as described above to determine a rate of change in this refractive index by means of Fourier transformation so as to be able to form in a shape to eliminate the ripple shown in FIG. 3, whereby the ripple can be substantially eliminated.

[0040] With the provision of the laminated structure shown in FIG. 1 as described above, it is possible to form an optical filter having a narrow reflective band, and the laminated structure shown in FIG. 2 is provided, whereby it is possible to eliminate the ripple. However, when an attempt is made to narrow the half-amplitude level ( $\Delta\lambda$ ) of the reflective band in FIG. 1 as described above, it is necessary to set the difference ( $n_H - n_L$ ) between the high refractive index  $n_H$  and the low refractive index  $n_L$  to under 0.2, or preferably 0.1 or less as shown on Table 1.

[0041] Further, in the structure shown in FIG. 2, the refractive index difference ( $n_H - n_L$ ) must be made minute and in the difference, a minute difference changing in progression which has been obtained by performing the Fourier transformation between the refractive indices  $n_H$  and  $n_L$  must be further gradually provided. In the structure shown in FIG. 2, if the refractive index difference ( $n_H - n_L$ ) is 0.1 and over to about 0.2 excl., it will be possible to obtain a structure in which, within the range, the refractive indices  $n_H$  and  $n_L$  are caused to have gradually a difference therebetween. If, however, the refractive index difference ( $n_H - n_L$ ) is under 0.1, it will become difficult in terms of a problem concerning selectivity of optical materials and adjustment of the refractive index difference between films to cause the refractive indices  $n_H$  and  $n_L$  to have a difference changing in progression therebetween, and it becomes somewhat difficult to manufacture.

[0042] Thus, when the laminated structure of the optical film shown in FIG. 5 is adopted, it is possible to constitute an optical filter having the same characteristic as shown in FIG. 1, and yet a small half-amplitude level ( $\Delta\lambda$ ) of the reflective band even if optical materials having a large refractive index difference are combined. Also, when the laminated structure of the optical film shown in FIG. 6 is adopted, it is possible to form an optical filter which has eliminated the ripple in the same manner as shown in FIG. 2 even if optical materials having a large refractive index difference are combined.

[0043] FIGS. 5A and 5B show optical filters having multilayer film structure for each embodiment, and the axis of abscissas represents the physical film thickness while the axis of ordinates represents the refractive index of each

optical film. Also, FIG. 5C shows relationship between the physical film thickness and the equivalent refractive index of the optical filters having the structure shown in FIGS. 5A and 5B.

[0044] In the optical filter shown in FIG. 5A, a range of the physical thickness  $d_L$  is a low refractive index region 12 and a range of the physical thickness  $d_H$  is a high refractive index region 13. Within the low refractive index region 12, an optical film with the refractive index  $n_1$  is formed at a physical film thickness  $d_1$ , next to which, an optical film with the refractive index  $n_2$  is formed at a physical film thickness  $d_2$ . Also, within the high refractive index region 13, the film with the refractive index  $n_1$  is formed at the physical film thickness  $d_3$ , next to which, the film with the refractive index  $n_3$  is formed at a physical film thickness  $d_4$ . All the low refractive index regions 12 have the same laminated structure, and all the high refractive index regions 13 have also the same laminated structure. Thus, the low refractive index regions 12 and the high refractive index regions 13 are formed so as to be alternately repeated in the direction of thickness.

[0045] When the low refractive index region 12 and the high refractive index region 13 are made into a combination of a plurality of optical films having different physical film thickness  $dx$  and different refractive index  $nx$  in this manner, the optical film thickness of the low refractive index region 12 becomes equivalently  $n_L \cdot d_L = \sum (nx \cdot dx)$ , and the optical film thickness of the high refractive index region 13 becomes equivalently  $n_H \cdot d_H = \sum (nx \cdot dx)$ . Thus, the  $n_L$  becomes an equivalent refractive index of the low refractive index region 12, and  $n_H$  becomes an equivalent refractive index of the high refractive index region 13.

[0046] In the laminated structure of the optical film of FIG. 5A, the optical film thickness of the low refractive index region 12 becomes equivalently  $n_L \cdot d_L = (n_1 \cdot d_1 + n_2 \cdot d_2)$ , and the optical film thickness of the high refractive index region 13 becomes equivalently  $n_H \cdot d_H = (n_1 \cdot d_3 + n_3 \cdot d_4)$ . If the equivalent optical film thickness  $n_L \cdot d_L$  of the low refractive index region 12 is assumed to be  $(n_1 \cdot d_1 + n_2 \cdot d_2) = 1/(2 \cdot m \cdot \lambda_0)$  and the equivalent optical film thickness  $n_H \cdot d_H$  of the high refractive index region 13 is assumed to be  $(n_1 \cdot d_3 + n_3 \cdot d_4) = 1/(2 \cdot m \cdot \lambda_0)$  in the same manner as in FIG. 1B (where  $m = 1$  or  $m = 2$  and the like), an optical filter having the same characteristic as shown in FIG. 1B can be constituted.

[0047] In FIG. 5A,  $n_3 > n_2$ , and the physical film thickness  $d_2$  of the optical film having the refractive index  $n_2$  and the physical film thickness  $d_4$  of the optical film having the refractive index  $n_3$  may be the same or may be different from each other.

[0048] Next, the layered product of optical film shown in FIG. 5B has, in the low refractive index region 12, an optical film having the refractive index  $n_4$  and the physical film thickness  $d_2$  formed next to film having refractive index  $n_1$  and physical film thickness  $d_1$ . Also, in the high refractive index region 13, next to the film having refractive index  $n_1$  and physical film thickness  $d_3$ , an optical film having refractive index  $n_4$  is formed such that the physical film thickness becomes  $d_4$ . However,  $d_4 > d_2$ .

[0049] In FIG. 5B, the optical film thickness of the low refractive index region 12 becomes equivalently  $n_L \cdot d_L = (n_1 \cdot d_1 + n_4 \cdot d_2) = 1/(2 \cdot m \cdot \lambda_0)$ , and the optical film thickness of the high refractive index region 13 becomes equivalently  $n_H \cdot d_H = (n_1 \cdot d_3 + n_4 \cdot d_4) = 1/(2 \cdot m \cdot \lambda_0)$ .

[0050] In this respect, in FIGS. 5A to 5C, the low refractive index region 12 has all the same physical film thickness of  $d_L$  and the high refractive index region 13 has all the same physical film thickness of  $d_H$ , and  $d_L \neq d_H$ .

[0051] In the optical filters having the laminated structure shown in FIGS. 5A and 5B, even if a difference between refractive index  $n_1$  and  $n_2$  of the optical film, a difference between refractive index  $n_1$  and  $n_3$  and further a difference between refractive index  $n_1$  and  $n_4$  are large, a difference between equivalent optical film thickness  $n_L \cdot d_L$  of the low refractive index region 12 and equivalent optical film thickness  $n_H \cdot d_H$  of the high refractive index region 13 can be actually made smaller. Therefore, the above-described refractive indices  $n_1$ ,  $n_2$ ,  $n_3$  or  $n_1$  and  $n_4$  are optimally selected and the film thickness of each optical film is set, whereby an optical film having the same characteristic as a film obtained by setting the refractive index difference  $(n_H - n_L)$  to 0.01 or 0.001 can be obtained as shown on Table 1. Accordingly, it becomes easier to constitute an optical filter having small half-amplitude level ( $\Delta\lambda$ ) of the reflective band.

[0052] Also, the following Table 3 shows refractive indices of various optical materials. If each film is formed by using these materials, or a combination of these materials or changing the compounding ratio of these materials, it will be possible to easily combine refractive indices  $n_1$  and  $n_2$  or  $n_3$  shown in FIGS. 5A and 5B, or refractive indices  $n_1$  and  $n_4$ .

(Table 3)

Material	Refractive Index
ZrO <sub>2</sub>	2.00
Nb <sub>2</sub> O <sub>3</sub>	2.10
HfO <sub>2</sub>	2.15
CeO <sub>2</sub>	2.20

(Table 3) (continued)

Material	Refractive Index
TiO <sub>2</sub>	2.35
Ta <sub>2</sub> O <sub>3</sub>	2.40

[0053] FIGS. 6A to 6C show the laminated structure of the film of the optical filter, and the axis of abscissas represents physical film thickness while the axis of ordinates represents the refractive index of each film. Also, FIG. 6D shows equivalent refractive index  $n_L^*$  and  $n_H^*$  of the low refractive index region 22 and the high refractive index region 23 of the optical filter of FIGS. 6A to 6C.

[0054] The optical filter shown in FIG. 6 comprises low refractive index regions 22, each obtained by combining optical films having different refractive index  $n_x$  and different physical film thickness  $dx$ , and high refractive index regions 23, each likewise obtained by combining optical films having different refractive index  $n_x$  and different physical film thickness  $dx$  alternately stacked. All the low refractive index regions 22 have a physical thickness  $d_L$  while all the high refractive index regions 23 have a physical thickness  $d_H$ , and  $d_L \neq d_H$ .

[0055] Thus, as in the case of FIG. 5, the optical film thickness of the low refractive index region 22 is equivalently determined by  $n_L^* \cdot d_L = \sum(n_x \cdot dx)$ , and the optical film thickness of the high refractive index region 23 is also equivalently determined by  $n_H^* \cdot d_H = \sum(n_x \cdot dx)$ .

[0056] In this optical filter, the equivalent refractive index  $n_L^*$  of the low refractive index region 22 becomes a minimum at the central region (right end portion of the figure) of the film as shown in FIG. 6D, and the equivalent optical film thickness of the low refractive index region 22 of that portion is  $n_L^* \cdot d_L = 1/(2 \cdot m \cdot \lambda_0)$ . Also, at the central region of the film, the equivalent refractive index  $n_H^*$  of the high refractive index region 23 becomes a maximum, and the equivalent optical film thickness of the high refractive index region 23 of that portion is  $n_H^* \cdot d_H = 1/(2 \cdot m \cdot \lambda_0)$ . Thus, in each low refractive index region 22, the equivalent refractive index  $n_L^*$  gradually becomes smaller in stages toward the central region of the film, and in each high refractive index region 23, the equivalent refractive index  $n_H^*$  gradually becomes larger in stages toward the central region of the film.

[0057] Changes in the equivalent refractive indices  $n_L^*$  and  $n_H^*$  are adapted to have a functional change determined by performing the Fourier transformation so as to be able to eliminate the ripple shown in FIG. 3.

[0058] In the optical filter shown in FIG. 6A, the low refractive index region 22 at the left end in the figure is formed by an optical film having physical film thickness  $d_L$  at intermediate refractive index  $n_0$ , and the optical film thickness of the low refractive index region 22 is equivalently  $(n_0 \cdot d_L)$ . In the next high refractive index region 23, the optical film at the intermediate refractive index  $n_0$  is formed at physical film thickness  $(d_H - da)$ . Next, there is formed an optical film having high refractive index  $n_b$  and physical film thickness  $da$ , and the optical film thickness of the high refractive index region 23 is equivalently  $\{n_0 (d_H - da) + n_b \cdot da\}$ .

[0059] In the next low refractive index region 22, the optical film at the intermediate refractive index  $n_0$  is formed at the physical film thickness  $(d_L - df)$ , next there is formed an optical film having a physical film thickness  $df$  at the low refractive index  $n_a$ , and the optical film thickness of the high refractive index region 23 is equivalently  $\{n_0(d_L - da) + n_a \cdot df\}$ .

[0060] Thus, the film of the high refractive index  $n_b$  becomes gradually thicker in the order of  $da, db, dc, de, \dots$  in film thickness toward the direction of lamination of the film for each of the high refractive index regions 23. The film is formed such that the physical film thickness becomes peak in the plurality of high refractive index regions 23 at the central portion in the direction of lamination, and thereafter becomes gradually thinner. Also, the optical film of the low refractive index  $n_a$  also becomes gradually thicker in the order of  $df, dg, dh, \dots$  in physical film thickness for each of the low refractive index regions 22 toward the direction of lamination of the film in such a manner that the optical film of the low refractive index  $n_a$  and the optical film of the refractive index  $n_b$  become vertically symmetrical. The film is formed such that the physical film thickness becomes peak in the plurality of low refractive index regions 22 at the central portion in the direction of lamination, and thereafter becomes gradually thinner.

[0061] As a result, as shown in FIG. 6D, in the low refractive index regions 22, the equivalent refractive index (equivalent optical film thickness/physical film thickness  $d_L$ ) becomes gradually smaller toward the central portion of the layered product as indicated by  $\dots, n_L^{**}, n_L^*, \dots$ , and in the plurality of low refractive index regions 22 at the central portion of the film, the equivalent optical film thickness becomes  $n_L^* \cdot d_L = 1/(2 \cdot m \cdot \lambda_0)$ .

[0062] Similarly, in the high refractive index regions 23, the equivalent refractive index becomes gradually larger toward the central portion of the layered product as indicated by  $\dots, n_H^{**}, n_H^*, \dots$ , and in the plurality of high refractive index regions 23 at the central portion of the film, the equivalent optical film thickness becomes  $n_H^* \cdot d_H = 1/(2 \cdot m \cdot \lambda_0)$ .

[0063] A change in the equivalent high refractive index  $n_H^*$  and a change in the equivalent low refractive index  $n_L^*$  are vertically symmetrical, and becomes a change conforming to a function Fourier-transformed.

[0064] FIGS. 6B and 6C both show an example of lamination of an optical film for changing in stages the equivalent refractive index  $n_H^*$  and the equivalent refractive index  $n_L^*$  with the physical thickness  $d_L$  of the low refractive index



region 22 and the physical thickness  $d_H$  of the high refractive index region 23 as constant:

[0065] In the optical filter shown in FIG. 6B, the physical film thickness of the film of the high refractive index  $n_b$  and the film of the low refractive index  $n_a$  changes in stages over a predetermined number of low refractive index regions 22 and high refractive index regions 23. After that, in the predetermined number of low refractive index regions 22 and high refractive index regions 23, an optical film ( $n_d > n_b$ ) of further high refractive index  $n_d$  and an optical film ( $n_c > n_a$ ) of further low refractive index  $n_c$  are formed, and the physical film thickness of the film of this refractive index  $n_d$  and the film of the refractive index  $n_c$  changes in stages over the plurality of the low refractive index regions 22 and high refractive index regions 23. As a result, as shown in FIG. 6D, the equivalent refractive index  $n_H^*$  and the equivalent refractive index  $n_L^*$  are arranged to be able to obtain an equivalent characteristic that functionally changes toward the direction of lamination of the film.

[0066] Further, in the optical filter shown in FIG. 6C, two types: an optical film of refractive index  $n_0$  and an optical film of high refractive index  $n_e$  are used, and within the range of the low refractive index region 22, the physical film thickness of the film of the high refractive index  $n_e$  is made small, while within the range of the high refractive index region 23, the physical film thickness of the film of the high refractive index  $n_e$  is made large. Further, in the low refractive index region 22, the physical film thickness of the film of the high refractive index  $n_e$  is gradually made smaller in stages toward the direction of lamination of the film, and thereafter it is made gradually larger. In the high refractive index region 23, the physical film thickness of the film of the high refractive index  $n_e$  is gradually made larger in stages toward the direction of lamination of the film, and thereafter it is made gradually smaller.

[0067] Even in the case of laminated structure of such a film as shown in FIG. 6C, the equivalent optical film thickness of the low refractive index region 22 becomes  $n_L^* \cdot d_L = 1/(2 \cdot m \cdot \lambda_0)$  in the central portion of a layered product as shown in FIG. 6D; similarly, the equivalent optical film thickness of the high refractive index region 23 becomes  $n_H^* \cdot d_H = 1/(2 \cdot m \cdot \lambda_0)$  in the central portion of the layered product; and before and after it, there can be formed an optical filter whose equivalent refractive index gradually changes toward the central portion of the layered product.

[0068] In such an optical filter as shown in FIG. 6, even if a difference between the refractive index  $n_0$  and each refractive index  $n_a, n_b, n_c, n_d$ , and  $n_e$  is large, it is possible to make a difference between the equivalent refractive index  $n_L^*$  of the low refractive index region 22 and the equivalent refractive index  $n_H^*$  of the high refractive index region 23 smaller, and to change the equivalent refractive index difference  $n_H^*$  and  $n_L^*$  in stages. The optical materials shown on Table 3 are selected for use, or the optical materials shown on Table 3 are combined, and the ratio of the combination is selected to form the film by sputtering, CVD or the like, whereby the refractive index  $n_0$  and each refractive index  $n_a, n_b, n_c, n_d$ , and  $n_e$  can be freely set.

[0069] From the foregoing, in the optical filter shown in FIG. 5, it is possible to easily form a reflection type filter having a narrow band being 1 nm or less in such a half-amplitude level as shown in FIG. 8, and further in an optical filter whose equivalent refractive index changes in progression as shown in FIG. 6, it is possible to easily form a narrow-band reflection type optical filter with such ripple as shown in FIG. 9 eliminated.

[0070] Further, as shown in FIG. 6, the equivalent high refractive index  $n_H^*$  is made larger in stages and is changed so as to become smaller; the equivalent low refractive index  $n_L^*$  is made smaller in stages and thereafter, is changed so as to become larger in stages; and further peaks of the above-described changes may be set in plural places in the direction of lamination of the film. Thus, an optical filter whose wavelength band of light to be reflected becomes a broad band can be formed, and as a result, it is also possible to form a band-pass filter having such characteristics as shown in FIG. 10 or FIG. 11.

[0071] Next, FIG. 7 shows a further preferred embodiment according to the present invention.

[0072] FIG. 7A shows laminated structure of the film of the optical filter, and the axis of abscissas represents physical film thickness of the laminated film while the axis of ordinates represents the refractive index of each film. Also, FIG. 7B shows relationship between changes in the equivalent refractive index  $n_L^*$  and the equivalent refractive index  $n_H^*$  of the optical filter of FIG. 7A and the physical film thickness.

[0073] In this embodiment, in a low refractive index region 32, next to a film having a refractive index of  $n_a$  and physical film thickness of  $d_a$ , a film having a refractive index of  $n_b$  and a physical film thickness of  $d_b$  and a film having a refractive index of  $n_c$  and a physical film thickness of  $d_c$  are stacked ( $n_c > n_b > n_a$ ). Also, in a high refractive index region 33, a film of a refractive index of  $n_a$  is formed at a physical film thickness  $d_e$ , next to which a film of a refractive index  $n_f$  is formed at physical film thickness  $d_f$ . Further, a film of a refractive index  $n_g$  is formed at physical film thickness  $d_g$  ( $n_g > n_f > n_a$ ).

[0074] As a result, in the low refractive index region 32, the optical film thickness becomes equivalently  $n_L^* \cdot d_L^* = (n_a \cdot d_a + n_b \cdot d_b + n_c \cdot d_c)$ , and in the high refractive index region 33, the optical film thickness becomes equivalently  $n_H^* \cdot d_H^* = (n_a \cdot d_e + n_f \cdot d_f + n_g \cdot d_g)$ . If the equivalent optical film thickness is set to  $1/(2 \cdot m \cdot \lambda_0)$  (for example,  $m = 1$ ,  $m = 2$ ), the same optical filter as in FIG. 5 will be able to be formed, and if the equivalent high refractive index  $n_H^*$  and the equivalent low refractive index  $n_L^*$  are changed toward the direction of lamination of the film in accordance with the Fourier function, the same optical filter as in FIG. 6 will be formed.

[0075] In this respect, next to the film thickness having the refractive index  $n_b, n_c$ , further another film whose refractive

index lowers in stages may be formed.

[0076] When the film having the high refractive index is changed in stages as shown in FIG. 7, it is possible to prevent reflection of wavelengths of higher order equal to an integer multiple of the center wavelength  $\lambda_0$  from occurring, and an optical filter having further excellent characteristics can be obtained.

[0077] In this respect, in the above-described description, the description has been made with  $m$  as an integer of 1 or more, and with  $m = 1$  or  $m = 2$  as an example, but even if the above-described  $m$  is any other constant than an integer, the above-described effect can be exhibited.

[0078] As described above, according to the present invention, with the thin-film multilayer structure, an optical filter which reflects light in the band including the center wavelength  $\lambda_0$  can be constituted at low cost. Also, since it is also possible to easily change the refractive index in progression, any ripple change in the transmission factor can be eliminated. Further, it results in a high degree of freedom in terms of design, and it becomes possible to constitute an optical filter having various characteristics.

## 15 Claims

1. An optical filter comprising low refractive index films formed of optical materials and high refractive index films likewise formed of the optical materials alternately stacked, wherein
  - each of the low refractive index films has a refractive index of  $n_L$  and a physical film thickness of  $d_L$  and each
  - of the high refractive index films has a refractive index of  $n_H$  ( $n_L < n_H$ ) and a physical film thickness of  $d_H$ ,
  - wherein an optical film thickness of the low refractive index film is  $n_L \cdot d_L = 1/(2 \cdot m \cdot \lambda_0)$ , and an optical film thickness of the high refractive index film is  $n_H \cdot d_H = 1/(2 \cdot m \cdot \lambda_0)$  (where  $m$  is an arbitrary constant), and
  - wherein, of incident light, light in a band of a predetermined range including a wavelength  $\lambda_0$  does not transmit but is reflected.
2. An optical filter comprising low refractive index films formed of optical materials and high refractive index films likewise formed of the optical materials alternately stacked, wherein
  - a physical film thickness  $d_L$  of each of the low refractive index films is constant, wherein a physical film thickness  $d_H$  of each of the high refractive index films is constant, and wherein the low refractive index film and the high refractive index film are formed such that a refractive index is gradually changed toward a direction of the film lamination,
  - wherein one or more layers of low refractive index film having the lowest refractive index have a refractive index of  $n_L$  and an optical film thickness of  $n_L \cdot d_L = 1/(2 \cdot m \cdot \lambda_0)$ , and wherein one or more layers of high refractive index film having the highest refractive index have a refractive index of  $n_H$  ( $n_L < n_H$ ) and an optical film thickness of  $n_H \cdot d_H = 1/(2 \cdot m \cdot \lambda_0)$  (where  $m$  is an arbitrary constant), and
  - wherein, of incident light, light in a band of a predetermined range including wavelength  $\lambda_0$  does not transmit but is reflected.
3. An optical filter comprising a low refractive index region having a physical thickness of  $d_L$  and a high refractive index region having a physical thickness of  $d_H$  alternately stacked, wherein
  - the low refractive index region and the high refractive index region are formed by a layered product ( $nx$  and  $dx$  are both variables) of films having a refractive index of  $nx$  and a physical film thickness of  $dx$ , and wherein an optical film thickness of the low refractive index region is equivalently  $n_L \cdot d_L = \Sigma(nx \cdot dx)$  while an optical film thickness of the high refractive index region is equivalently  $n_H \cdot d_H = \Sigma(nx \cdot dx)$  ( $n_L^*$  and  $n_H^*$  are equivalent refractive indices and  $n_L^* < n_H^*$ ),
  - wherein the optical film thickness of the low refractive index region is  $n_L \cdot d_L = 1/(2 \cdot m \cdot \lambda_0)$ , and wherein the optical film thickness of the high refractive index region is  $n_H \cdot d_H = 1/(2 \cdot m \cdot \lambda_0)$  (where " $m$ " is an arbitrary constant),
  - wherein, of incident light, light in a band of a predetermined range including wavelength  $\lambda_0$  does not transmit but is reflected.
4. An optical filter comprising a low refractive index region having a physical thickness of  $d_L$  and a high refractive index region having a physical thickness of  $d_H$  alternately stacked, wherein
  - the low refractive index region and the high refractive index region are formed by a layered product ( $nx$  and  $dx$  are both variables) of films having a refractive index of  $nx$  and a physical film thickness of  $dx$ , and wherein an optical film thickness of the low refractive index region is equivalently  $n_L \cdot d_L = \Sigma(nx \cdot dx)$  while an optical film thickness of the high refractive index region is equivalently  $n_H \cdot d_H = \Sigma(nx \cdot dx)$  ( $n_L^*$  and  $n_H^*$  are equivalent refractive indices and  $n_L^* < n_H^*$ ),
  - wherein the physical thickness  $d_L$  of each of the low refractive index regions is constant, wherein the physical

thickness  $d_H$  of each of the high refractive index regions is constant, and wherein the low refractive index region and the high refractive index region are formed such that the equivalent refractive index  $n_L^*$  or  $n_H^*$  gradually varies toward a direction of the film lamination,

wherein the low refractive index region at one or more places having the lowest equivalent refractive index is equivalently  $n_L^* \cdot d_L = 1/(2 \cdot m \cdot \lambda_0)$  in optical film thickness, and wherein one or more high refractive index regions having the highest equivalent refractive index are equivalently  $n_H^* \cdot d_H = 1/(2 \cdot m \cdot \lambda_0)$  (where  $m$  is an arbitrary constant) in optical film thickness, and

wherein, of incident light, light in a band of a predetermined range including wavelength  $\lambda_0$  does not transmit but is reflected.

5. The optical filter according to Claim 4, wherein the low refractive index region and the high refractive index region have optical films having the same refractive index, and wherein the physical film thickness of the optical film varies for each region.

6. The optical filter according to Claim 4, wherein the optical filter has, in the low refractive index region and the high refractive index region, an optical film whose physical film thickness is constant in each region and whose refractive index gradually varies for each region.

7. The optical filter according to Claim 4, wherein inside the low refractive index region and inside the high refractive index region, the refractive index varies at least in two stages.

8. The optical filter according to Claim 7, wherein the refractive index of each optical film differs by changing combination of materials.

9. The optical filter according to Claim 7, wherein the refractive index of each optical film differs by changing the compounding ratio of materials.

FIG. 1A

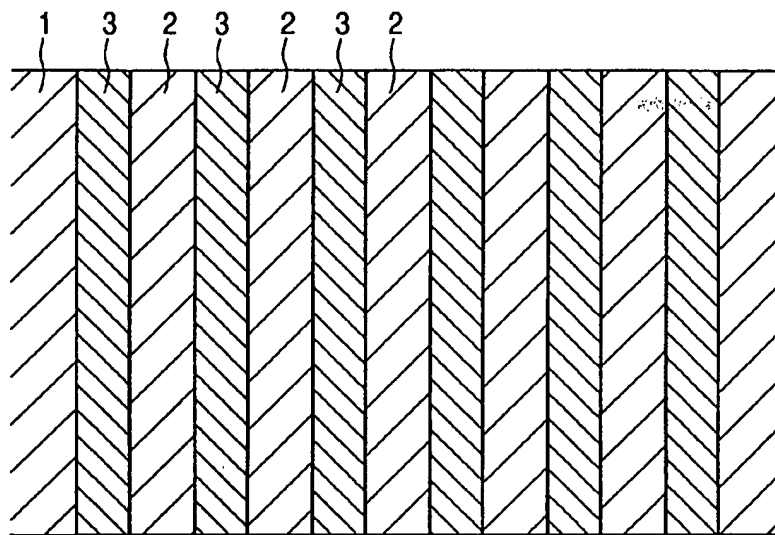


FIG. 1B

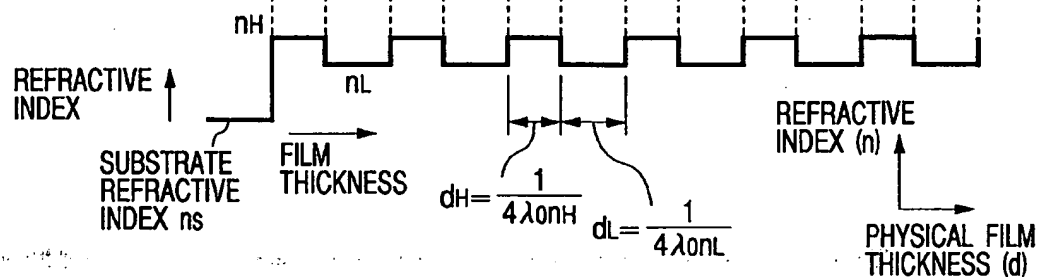


FIG. 2

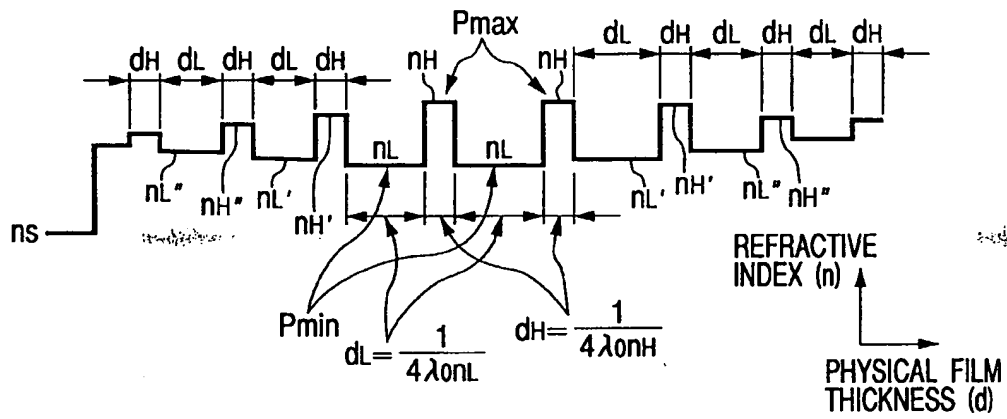
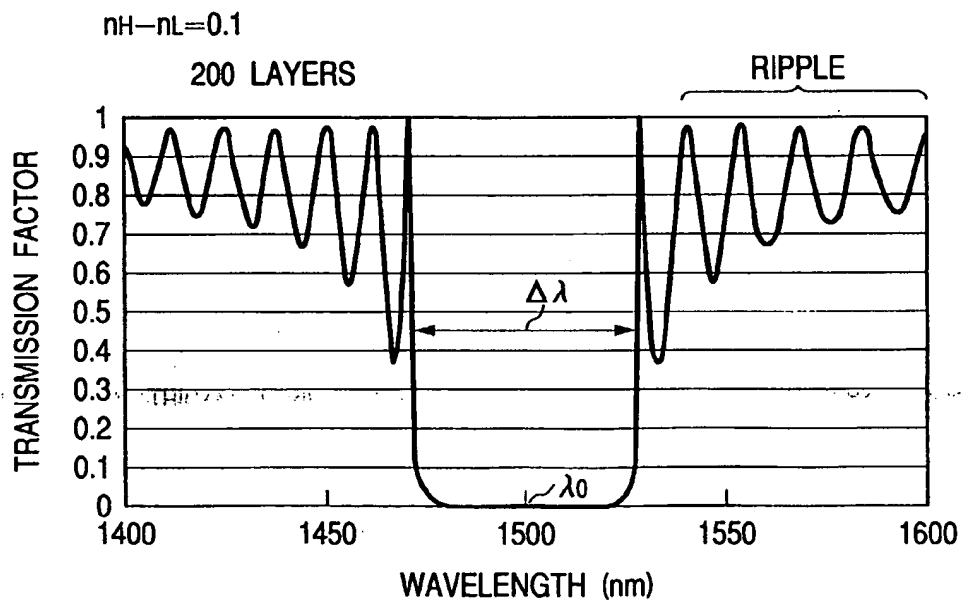


FIG. 3



**FIG. 4**

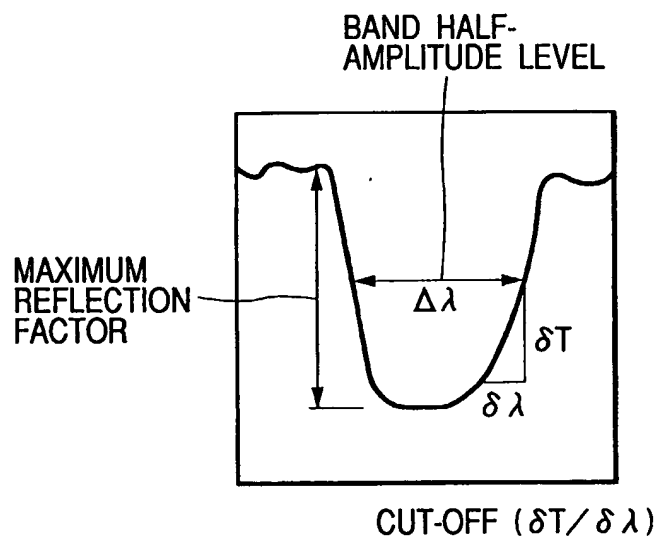


FIG. 5A

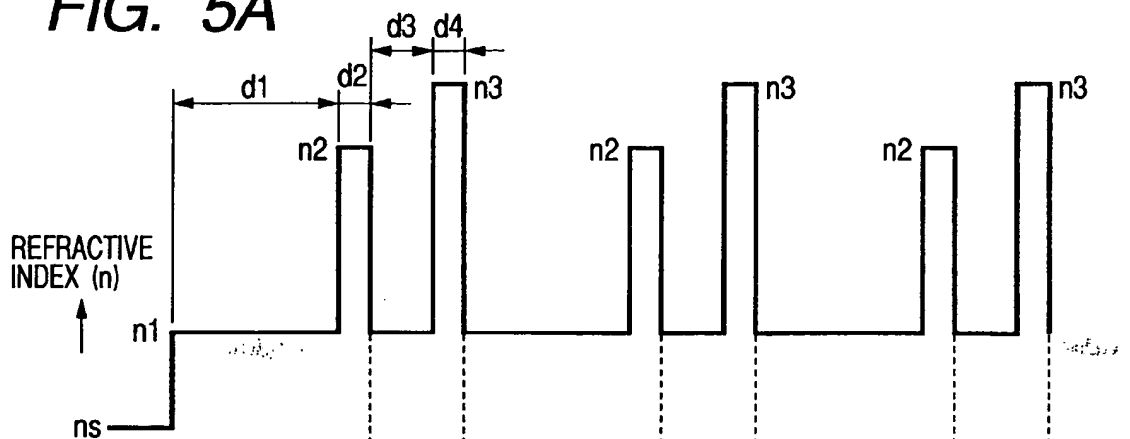


FIG. 5B

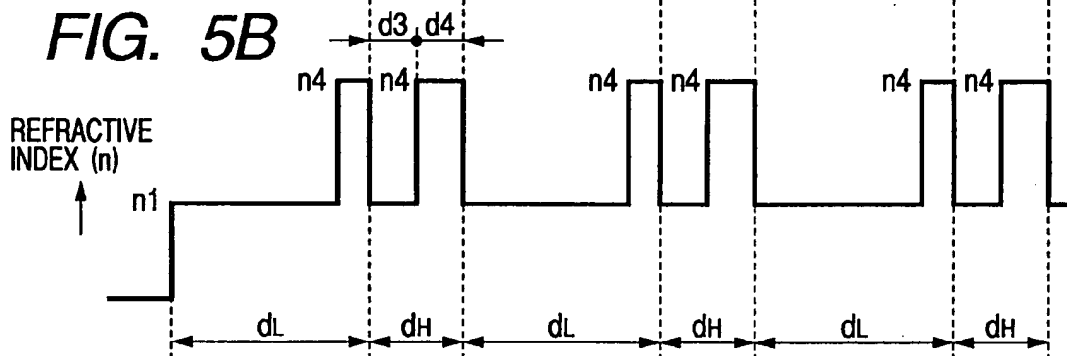
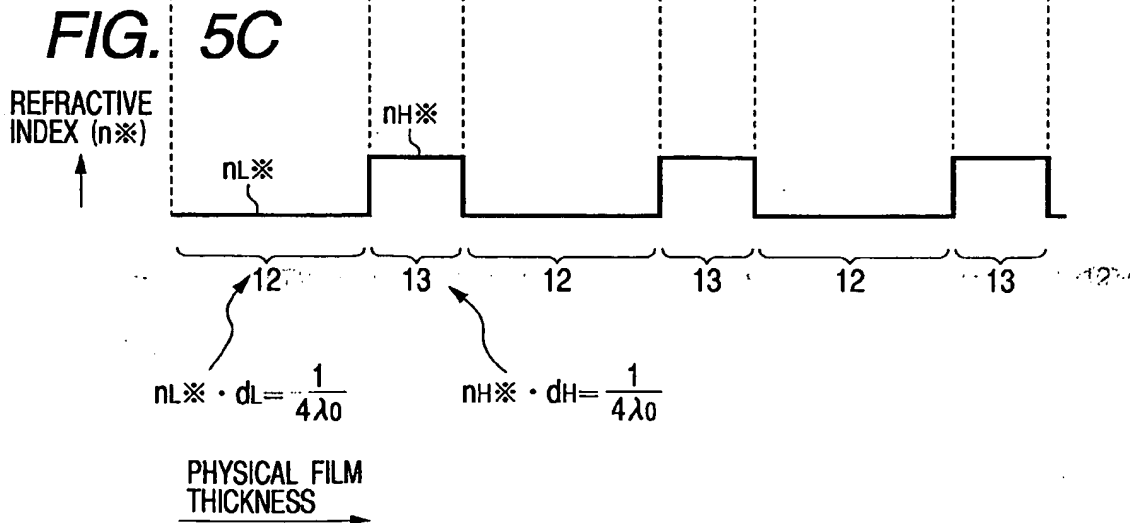
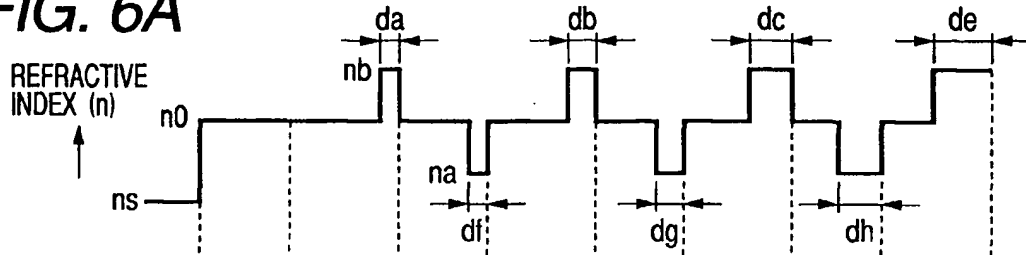


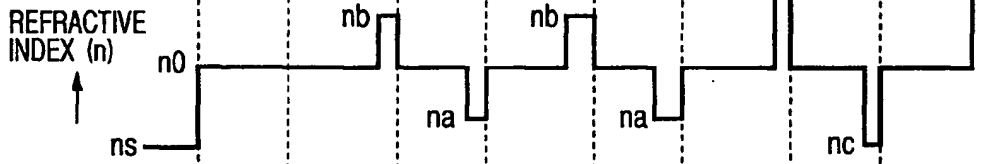
FIG. 5C



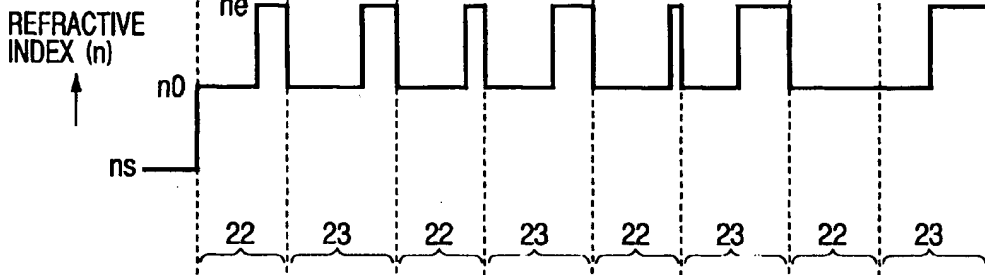
**FIG. 6A**



**FIG. 6B**



**FIG. 6C**



**FIG. 6D**

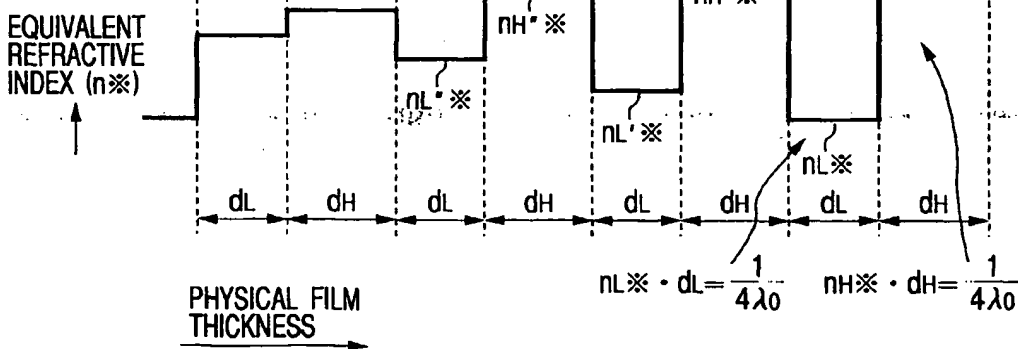




FIG. 7A

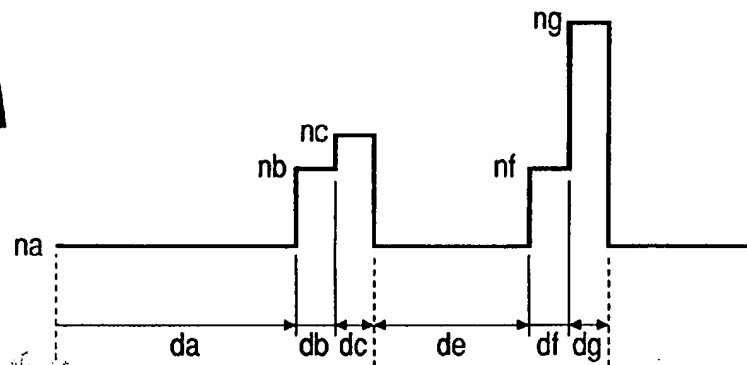


FIG. 7B

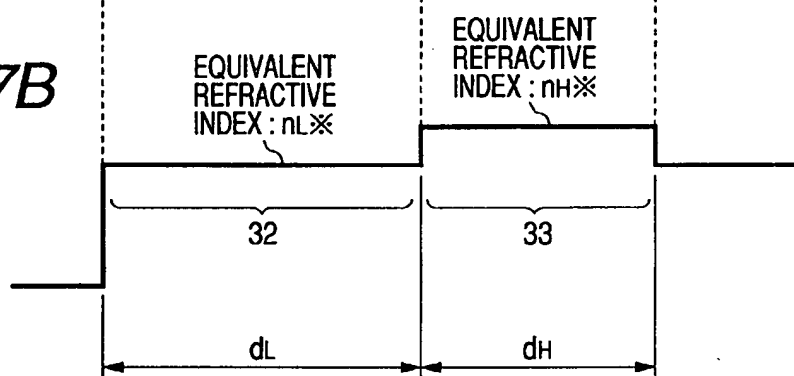
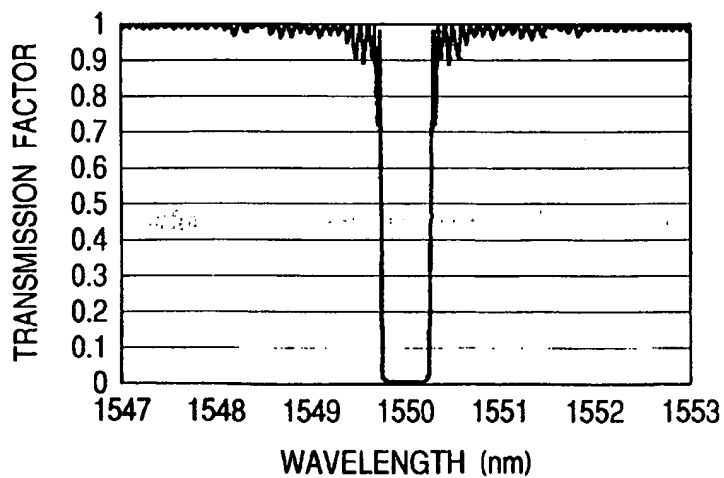
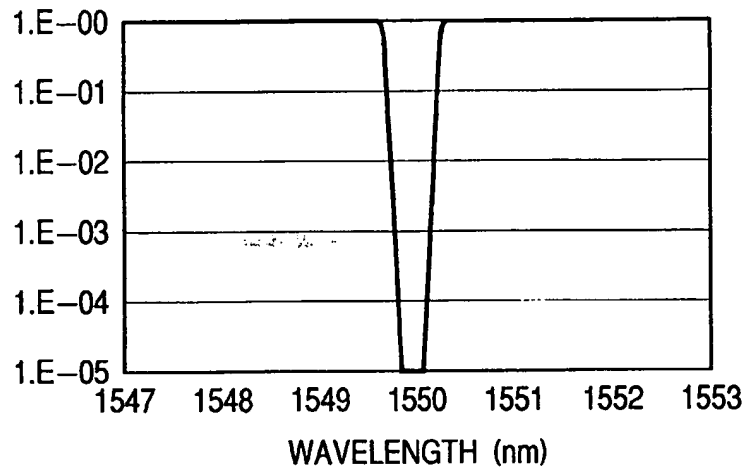


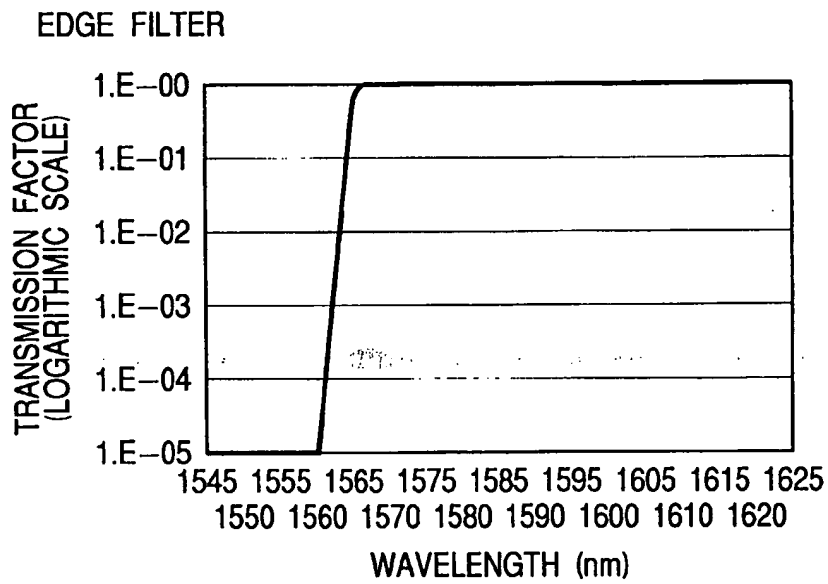
FIG. 8



**FIG. 9**



**FIG. 10**



**FIG. 11**

